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Docket No. 0756-2428

2811



- 1 -

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#6 / 155  
6-11-03  
Roth

In re Patent Application of

Osamu NAKAMURA et al.

Art Unit: 2811

Examiner: Unassigned

Serial No. 10/056,055

Filed: January 28, 2002

For: SEMICONDUCTOR DEVICE AND  
MANUFACTURING METHOD OF  
THE SAME

CERTIFICATE OF MAILING

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**INFORMATION DISCLOSURE STATEMENT AND**  
**IDENTIFICATION OF COPENDING APPLICATION**

Commissioner for Patents  
Washington, DC 20231

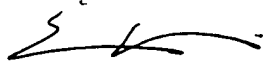
Sir:

In accordance with the provisions of 37 C.F.R. 1.56 and 37 C.F.R. 1.97-1.99, Applicant submits herewith attached Form PTO-1449 listing references known to Applicant and requests that these references be made of record in the above identified application. Copies of the references listed are submitted herewith in accordance with 37 C.F.R. 1.98(a). It is requested that the accompanying information disclosure statement be considered and made of record in the above-captioned application. To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that the Examiner initial a copy of this form and return to the undersigned.

The Examiner's attention is directed to Application Serial No. 08/784,293 filed January 16, 1997 entitled *Fabrication Method of Semiconductor Device*.

This Information Disclosure Statement is being submitted before the submission of a first Office Action and therefore, no fee is required.

Respectfully submitted,



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PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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<b>Substitute for form 1449A/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		<b>Complete if Known</b>			
		Application Number	10/056,055		
		Filing Date	January 28, 2002		
		First Named Inventor	Osamu NAKAMURA et al.		
		Group Art Unit	2811		
		Examiner Name	Unassigned		
Sheet		of		Attorney Docket Number	0756-2428

U.S. PATENT DOCUMENTS						
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
		6,162,704		Yamazaki et al.	12/19/00	
		6,180,439		Yamazaki et al.	01/30/01	

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FOREIGN PATENT DOCUMENTS								
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>2</sup> (if known)				

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS <sup>3</sup>			
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>1</sup>
		Specification and drawings of Application Serial No. 08/784,293 filed January 16, 1997 entitled "Fabrication Method of Semiconductor Device," along with pending claims as of June 7, 2002	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

<sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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